



Docket No. 110768-00102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Albert L. DONALDSON  
Application No: 09/548,322  
Filed: April 12, 2000  
For: METHOD AND APPARATUS FOR FILTERING JUNK EMAIL

Group Art Unit: 2142  
Confirmation No. 6262  
Examiner: Patrice L. Winder

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §§ 1.56, 1.97 and 1.98, Applicant(s) wish to make of record the references listed on the attached form PTO/SB/08 (substitute for PTO-1449). Copies of the listed references are attached, where required, as are either statements of relevancy (☐ attached) or any readily available English translations of pertinent portions of any non-English language references.

- ☒ This Information Disclosure Statement is being filed (a) within three months of the filing date of the subject patent application, (b) before the mailing date of a first Office Action on the merits or (c) with a Request for Continued Examination (RCE). No certification or fee is required.
- ☐ This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ Each item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this statement. No fee is required.
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- ☐ A check in the amount of \$180.00 is enclosed under 37 CFR §1.17(p).
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- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 23-2185. A duplicate copy of this sheet is enclosed.

Blank Rome LLP  
600 New Hampshire Avenue, N.W.  
Washington, DC 20037  
Tel: (202) 772-5800  
Fax (202) 572-8398  
Customer No.: 27557  
Date: November 13, 2006

Respectfully submitted,

Peter S. Weissman  
Attorney of Record  
Registration No. 40,220



TO/SB/08b (08-03)

Approved for use through 06/30/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	09/548,322
Filing Date	April 12, 2000
First Named Inventor	Albert L. DONALDSON
Art Unit	2142
Examiner Name	Patrice L. Winder
Attorney Docket Number	110768-00102

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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		J. KLENSIN, <a href="http://www.faqs.org/rfcs/rfc2821.html">http://www.faqs.org/rfcs/rfc2821.html</a> ; RFC 2821 – Simple Mail Transfer Protocol, April 2001, 64 pages	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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